1636



PATENT

Case Docket No. UC081.001A Date: June 17, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Gatti, et al.

Appl. No.

10/042,775

Filed

January 8, 2002

For

EXPRESSION AND

PURIFICATION OF ATM

PROTEIN USING VACCINIA

VIRUS

Examiner

Maria Marvich, PhD

Group Art Unit:

1636

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 17, 2003 (Date)

Erik T. Anderson, Reg. No. 52,559

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Erik T. Anderson Registration No. 52,559 Attorney of Record Customer No. 20,995 (619) 235-8550

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant | : | Gatti, et al. | ECEIVED |
| App. No. | : | 10/042,775 | TECH CENTER 1600/2900 |
| Filed | : | January 8, 2002 |) -WER 1600/200 |
| For | : | EXPRESSION AND PURIFICATION OF ATM PROTEIN USING VACCINIA VIRUS |)))) |
| Examiner | : | Maria Marvich, PhD |) |

ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION AND REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The original assignment is recorded at Reel 013202 and Frame 0666. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, 2040 Main Street, Fourteenth Floor, Irvine, California 92614, Telephone (949) 760-0404, Customer No. 20,995, as its attorneys with full power of substitution and revocation to prosecute this

App. No.

: 10/042,775

Filed

January 8, 2002

application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please use Customer No. 20,995 for all communications.

The Regents of the University of California

Dated: June 11, 2003.

By: Ainda S Stavenson

Title: Manager, Patent Prosecution

Address: 1111 Franklin Street, Fifth Floor

Oakland, CA 94607

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Application No.: 10/042,775 Client Code: UC081.001A Filing Date: January 8, 2002

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ASSIGNMENT

WHEREAS, We, Richard A. Gatti, a United States citizen, residing at 3835 Longridge Avenue, Sherman Oaks, California 91423, Helen H. Chun, a United States citizen, residing at 20670 Clarendon Street, Woodland Hills, California 91367, David J. Rawlings, a United States citizen, residing at 1429 E. Valley Street, Seattle, Washington 98112, have invented certain new and useful improvements in a EXPRESSION AND PURIFICATION OF ATM PROTEIN USING VACCINIA VIRUS for which we have filed an application for Letters Patent in the United States, Application No. 10/042,775, Filing Date: January 8, 2002;

AND WHEREAS, The Regents of the University of California (hereinafter "ASSIGNEE"), a California Corporation, with its principal place of business at OFFICE OF TECHNOLOGY TRANSFER, Office of the President, 1111 Franklin Street, Fifth Floor, Oakland, CA 94607, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE. successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.



Page 2

| JN TESTIMONY WHEREOF I | hereunto set my hand and seal this $\int \mathcal{L}$ day of |
|--|--|
| June , 20 0:2 | day of |
| | CAMIL |
| | First Inventor, Richard A. Gatti |
| STATE OF | |
| COUNTY OF ss. | |
| Richard A. Gatti, personally known to me (or the person(s) whose name(s) is/are subscribe | r proved to me on the basis of satisfactory evidence) to be d to the within instrument, and acknowledged to me that v(ies), and that by signature(s) on the instrument the the person(s) acted, executed the instrument. |
| WITNESS my hand and official seal. | e e e e e e e e e e e e e e e e e e e |
| IOUISE VILLALPANDO Commission # 1202454 Notory Public - Collifornia Los Angeles County My Comm. Expires Nov 20, 2002 IN TESTIMONY WHEREOF, I | Notary Signature hereunto set my hand and seal this 18th day of |
| | de a |
| | Second Inventor, Helen H. Chun |
| STATE OF COUNTY OF | |
| proved to me on the basis of satisfactory evid to the within instrument, and acknowledged | On <u>6-18-7</u> , before me, ly appeared Helen H. Chun, personally known to me (or lence) to be the person(s) whose name(s) is/are subscribed to me that executed the same in authorized capacity(ies), experson(s), or the entity upon behalf of which the |
| WITNESS my hand and official seal. | |
| LOUISE VILLALPANDO Commission # 1202454 Notary Public - Colliamia Los Angeles County My Comm. Expires Nov20, 2012 | Muss William and Notary Signature |

Application No.: 10/042,775 Filing Date: January 8, 2002

PATENT Client Code: UC081.001A

Page 3

| IN TESTIMONY | WHEREOF, I | hereunto | set my/hand | and seal | this 26^{t_2} | <u>k</u> day c | of |
|--------------|------------|----------|------------------|--|--|-------------------|----|
| Jane, 2002 | | | | and the same of th | The second secon | | |
| | | Ti | ird Inventor, Da | avid J. Rav | vlings | | _ |

STATE OF WA

SS.

COUNTY OF Ling

On 6-26-62, before me, Roth A Oskot, personally appeared David J. Rawlings, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Notary Signature

commission expires 10-18-04



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